

Evaluating Transformer's Ability to Learn Mildly Context-Sensitive Languages Shunjie Wang, Shane Steinert-Threlkeld

Natural Language is Supra-Context-Free

Swiss German subordinate clauses cross-serial dependency (Shieber, 1985)

Jan säit das mer d'chind em Hans es huus haend wele laa hälfe aastriiche says that we the children-ACC Hans-DAT the house-ACC have wanted let help paint Jan

'Jan says that we have wanted to let the children help Hans paint the house.'



Mild Context-Sensitivity

- TAG, CCG, etc. extend CFG with just enough power to describe cross-serial dependency as in Swiss German.
- MG, MCFG, etc. have power beyond TAG as motivated by more complex phenomena.
- Formalization of *mildly context-sensitive*

BINARY CLASSIFICATION POS: $\{ww \mid w \in \{a, b\}^*\}$ NEG: random strings from $\{a, b\}^*$

| Accuracy | (%) | IN-DISTR. | OOD | OOD |
|---------------|---------|-----------------------------|----------------------|----------------------|
| | | $ w \in [1, 11]$ | w = 12 | w = 13 |
| \mathcal{R} | Transf. | 99.5 _{±0.3} | $50.4_{\pm0.3}$ | $50.2_{\pm0.1}$ |
| ww^{-1} | LSTM | $97.8_{\pm 0.5}$ | 96.0 _{±0.7} | 96.0 _{±0.8} |

| Crossing | | a^n | $\mathbf{b}^m \mathbf{c}^n \mathbf{d}^n$ | | |
|--|-------------------------------------|-----------------------|--|--|--|
| $\begin{array}{l} \textbf{NEXT CHARACTER PREDICTION}\\ \texttt{a}^n\texttt{b}^m\texttt{c}^n\texttt{d}^m \rightarrow (\texttt{a/b})^n(\texttt{b/c})^m\texttt{c}^{n-1}\texttt{d}^m \texttt{[EOS]} \end{array}$ | | | | | |
| $\overline{\Lambda_{00}}$ | | | | | |
| | $\frac{\text{IN-DISTR.}}{n, m \in}$ | $n \text{ or } m \in$ | $n \text{ or } m \in$ | | |
| | [1, 50] | [51, 100] | [101, 150] | | |

| Tr. +PE | $99.8_{\pm 0.2}$ | $6.5_{\pm 1.3}$ | $0.0_{\pm 0.0}$ | |
|---------|------------------|-----------------|-----------------|--|
| | 100 0 | | JJ | |

languages (Kallmayer 2010):

- Describe cross-serial dependencies
- Can be parsed in polynomial time
- String length grows linearly
- Contain all CFLs
- MCSLs as benchmarks for linguistic adequacy:
 - Represent a hypothesized upper bound of the complexity of natural language
 - Abstractions of complex phenomena such as reduplication, free word order, etc.

We test how well Transformers learn complex MCSLs. They generalize well



WW



to unseen in-distribution data, but their extrapolation is worse than LSTMs. The learned self-attention resembles dependency relations and the representations encoded count information.

| Language | S | | |
|---|---|--|---|
| | Μ | lildly Context-Sens | itive |
| CFL C | L(TAG) | $\subset L(MG) =$ | <i>L</i> (MCFG) |
| LESS COMPLEX | CANONICAL | More Complex | SCRAMBLE |
| $ww^{\mathcal{R}}$ | ww | www | |
| $a^n b^m c^m d^n$ | $\mathtt{a}^n \mathtt{b}^m \mathtt{c}^n \mathtt{d}^m$ | | $\begin{split} O_2 &= \{ w \in \{ \mathtt{a}, \mathtt{b}, \mathtt{c}, \mathtt{d} \}^* \mid \\ \left w \right _{\mathtt{a}} &= \left w \right _{\mathtt{c}} \wedge \left w \right _{\mathtt{b}} = \left w \right _{\mathtt{d}} \} \end{split}$ |
| $a^n b^n$ | $\mathtt{a}^n \mathtt{b}^n \mathtt{c}^n \\ \mathtt{a}^n \mathtt{b}^n \mathtt{c}^n \mathtt{d}^n$ | $\mathtt{a}^n \mathtt{b}^n \mathtt{c}^n \mathtt{d}^n \mathtt{e}^n$ | $\begin{split} \text{MIX} &= \{ w \in \{\texttt{a},\texttt{b},\texttt{c}\}^* \mid \\ & w _\texttt{a} = w _\texttt{b} = w _\texttt{c} \} \end{split}$ |
| | | | |
| Tasks | | | |
| BINARY CLASSIFICATION (BIDIRECTIONAL ATTENTION) | | NEXT CHARACT (UNIDIRECTION | ER PREDICTION |
| Binary | 0/1 | <i>k</i> -hot [1 1 0] | [1 1 0] [0 1 0] [0 0 1] |

| Multiple Agreements | a"b |
|---------------------|-----|
|---------------------|-----|

NEXT CHARACTER PREDICTION

| Accuracy (%) | | IN-DISTR. | OOD | OOD |
|--|---------|------------------------------|------------------------------|----------------------------|
| | | $n \in$ | $n \in$ | $n \in$ |
| | | [1, 50] | [51, 100] | [101, 150] |
| $a^n b^n$ | Tr. −PE | $\textbf{100.0}_{\pm 0.0}$ | $\textbf{100.0}_{\pm 0.0}$ | $91.3_{\pm 8.4}$ |
| av | LSTM | $\textbf{100.0}_{\pm 0.0}$ | $\textbf{100.0}_{\pm 0.0}$ | $\textbf{100.0}_{\pm 0.0}$ |
| n , n | Tr. −PE | 100.0 _{±0.0} | 100.0 _{±0.0} | 36.0 _{±14.2} |
| арс | LSTM | $\textbf{100.0}_{\pm 0.0}$ | $\textbf{100.0}_{\pm 0.0}$ | $\textbf{100.0}_{\pm 0.0}$ |
| $a^n b^n c^n d^n$ | Tr. −PE | 100.0 _{±0.0} | 100.0 _{±0.0} | $\textbf{24.0}_{\pm 10.2}$ |
| | LSTM | $\textbf{100.0}_{\pm 0.0}$ | $\textbf{100.0}_{\pm 0.0}$ | $\textbf{48.7}_{\pm 13.6}$ |
| $a^n b^n c^n d^n e^n$ | Tr. −PE | 100.0 _{±0.0} | $85.3_{\pm 15.4}$ | 3.3 _{±4.7} |
| | LSTM | $\textbf{100.0}_{\pm 0.0}$ | $\textbf{100.0}_{\pm 0.0}$ | $\textbf{100.0}_{\pm 0.0}$ |
| $a^{n}b^{n}$: 2L×4H model $a^{n}b^{n}c^{n}$: 2L×4H model | | | | |

Scrambling

 c^n

b

С

С-

C -

BINARY CLASSIFICATION POS: all permutations of $a^n b^n c^n / a^n b^m c^n d^m$ NEG: remaining strings from $\{a, b, c\}^* / \{a, b, c, d\}^*$

| Macro I | F-1(%) | IN-DISTR. | OOD | OOD |
|---------|-----------------------------|------------------------------|----------------------------|-----------------------------|
| | | $ w _{\sigma} \in [1,4]$ | $ w _{\sigma} = 5$ | $ w _{\sigma} = 6$ |
| NAIN | Transformer | 100.0 _{±0.0} | 65.6 _{±2.9} | 45.7 _{±6.3} |
| MIX | LSTM | $\textbf{100.0}_{\pm 0.0}$ | $\textbf{70.3}_{\pm 10.5}$ | $\textbf{49.0}_{\pm 15.5}$ |
| | | $ w _{\sigma} \in [1,3]$ | $ w _{\sigma} \in [1,4]$ | $ w _{\sigma} \in [1,5]$ |
| 0 | Transformer | 100.0 _{±0.0} | $60.5_{\pm 8.5}$ | 45.1 _{±10.1} |
| 02 | LSTM | $\textbf{100.0}_{\pm 0.0}$ | $\textbf{100.0}_{\pm 0.0}$ | 98.6 _{±0.4} |
| М | IX: 2L×1H model Head 1-1 | 0 | 2: 2L×4H model Head 1-3 | 0.000 |
| a - | | a - | | - 0.200 |

- 0.150





| $b - 4.0$ 11.1 17.2 $b - \pm 0$ | 3 1.1 .6 ±0.1 | 17.6 ±0.1 | 0.1 ±0.1 | |
|---|-------------------|--------------|--------------|---------|
| b - ± 0.2 ± 0.2 ± 1.0 c - b - c - 6.0 c - ± 0.0 |) 15.7 .5 ±0.7 | 5.0 ±0.7 | 14.0 ±0.7 | - 0.100 |
| $\begin{array}{c} c \\ c \\ c \\ - \\ \pm 0.2 \\ c \\ - \\ \end{array} \begin{array}{c} 13.5 \\ \pm 0.5 \\ \pm 0.7 \\ \end{array} \begin{array}{c} 8.3 \\ \pm 0.7 \\ d \\ - \\ \end{array} \begin{array}{c} c \\ - \\ d \\ - \\ \pm 0. \end{array}$ | 7 0.1 .4 ±0.1 | 17.8 ±0.6 | 0.1 ±0.1 | - 0.050 |
| ⊣- <mark>-</mark> | a b b | c c c | d d ⊣ | 0.000 |
| Using an MLP regressor prober, | | Cou | nting Ta | arget |
| we can extract the ongoing | | #a | #b | #c |
| tallies for the 3 symbols in MIX strings. The predictions and | a | [1 | 0 | 0] |
| targets have an MSE of 0.21 | b | [1 | 1 | 0] |
| and a Pearson correlation of | С | [1 | 1 | 1] |
| control task target (shuffled | а | [2 | 1 | 1] |
| original target) which has an | b | [2 | 2 | 1] |
| MSE 01 1.33. | С | [2 | 2 | 2] |